

For Juristic Person

Documents for Opening a Securities Trading Account for Juristic Person.

1. Application Form for Opening a Securities/ Derivatives Trading Account.*
2. Self-Disclosure Form (as referred to the SEC Know Your Clients Well Requirement).
3. Broker Appointment Agreement for Securities and Derivatives Trading.*
4. Automatic Transfer System Form (ATS Form) (Depending on client's need).
5. E-Dividend Form (Depending on client's need).
6. 2 Signature Cards (Authorised Person/ 2 cards).
7. 30 Baht Stamp Duty for Broker Appointment Agreement for Securities and Derivatives Trading.

Documents/ Evidences for Supporting the Account Opening (Verified True Copies by authorized person(s)).

1. A Copy of Identification Card/ A copy of Passport**of authorized persons(s).
2. A Copy of House Registration of authorized person(s).
3. A Copy of the Company's Affidavit.
4. A Copy of the Company's Memorandum and Articles of Association.
5. A Copy of List of Shareholders.
6. A Copy of the Partners'/ Board of Directors' Resolution Allowing the Company to Open Account(s).
7. A Copy of the Company's Latest Financial Statement.
8. A Copy of Financial Evidence(s) (may include more than 1 of the following documents) for considering credit lines for securities trading account(s).
 - a. A Copy of Savings Account(s) (showing movements back at least 3 months and updated latest balance back no more than 1 month) or
 - b. A copy of Fixed Account(s) or
9. A Copy of Front Page of Bank Book (Savings Account or Current Account: under client name only) for a purpose of ATS and E-Dividend which may be the same bank book as item 8 (a) The bank book for ATS purpose may be the same or different from the bank book for E-Dividend purpose. Please consider the list of banks for transferring money into the Company bank account (Pay In) while in a process of considering of banks and banks have not approved ATS of clients. And the list of banks for ATS after banks have approved ATS of clients.

Remarks :

* Application Form and Broker Appointment Agreement cover Securities/ Derivatives Trading.

** For a case that authorized person(s) is/are foreigner(s).

In Case of Pay In :

The List of Preferred Banks for Pay In purpose are as follows.

Bank	Account No.
Bangkok Bank Public Company Limited (Surawong)	147-3-13116-5
Kasikorn Bank Public Company Limited (Silom)	001-1-28018-8
Krung Thai Bank Public Company Limited (Nananua)	000-6-11905-0
Bank of Ayudhya Public Company Limited (Ploenchit)	285-0-01050-4
Siam Commercial Bank Public Company Limited (Wittayu)	049-3-11929-8
Siam Commercial Bank Public Company Limited (Surawong 2)	064-3-01872-1
TMBThanachart Bank Public Company Limited (Rajprasong)	011-1-06267-5

In Case of ATS :

The List of Preferred Banks for ATS purpose are as follows.

Bank	Account No.
Bangkok Bank Public Company Limited (Surawong)	147-3-13116-5
Kasikorn Bank Public Company Limited (Silom)	001-1-28018-8
Krung Thai Bank Public Company Limited (Nananua)	000-6-11905-0
Bank of Ayudhya Public Company Limited (Ploenchit)	285-0-01050-4
Siam Commercial Bank Public Company Limited (Wittayu)	049-3-11929-8
TMBThanachart Bank Public Company Limited (Rajprasong)	011-1-06267-5